

IMEC.ICON INFORMATION SESSION JUNE 9, 2017



imec

- imec.icon
 - What is an imec.icon project?
 - Expected outcome of an imec.icon
 - Examples
 - Application procedure
 - VLAIO and Innoviris funding
 - IPR and Contracts

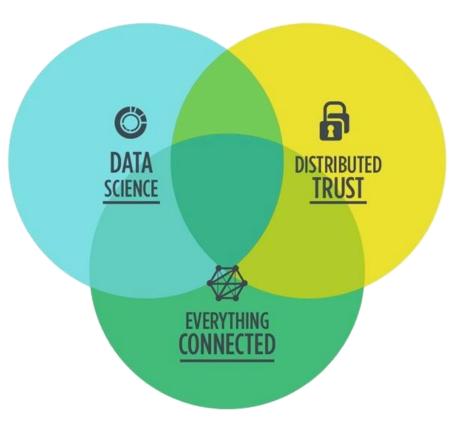
imec is the world-leading R&D and innovation hub in nanoelectronics and digital technology.



SMART APPLICATIONS – VERTICAL MARKETS



SMART APPLICATIONS – RESEARCH PROGRAMS



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IMEC.ICON PROGRAM LARGE EXPERIENCE BASE

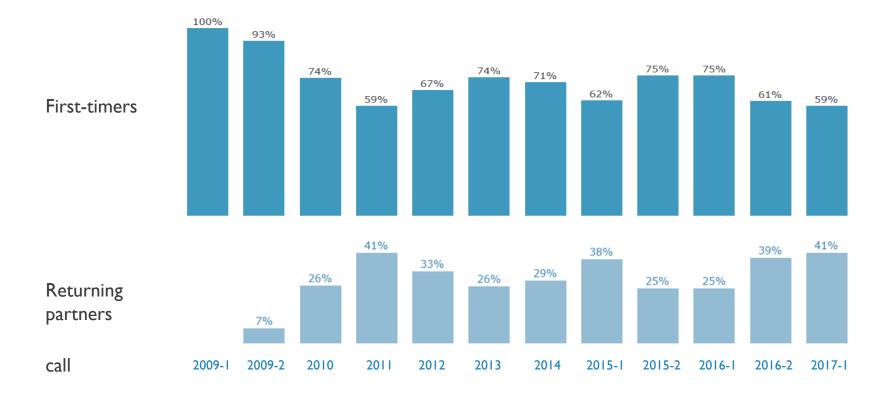


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>300 IMEC.ICON PARTNERS AND COUNTING



ECOSYSTEM CONTINUOUSLY GETS BROADER



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2009 - 2017

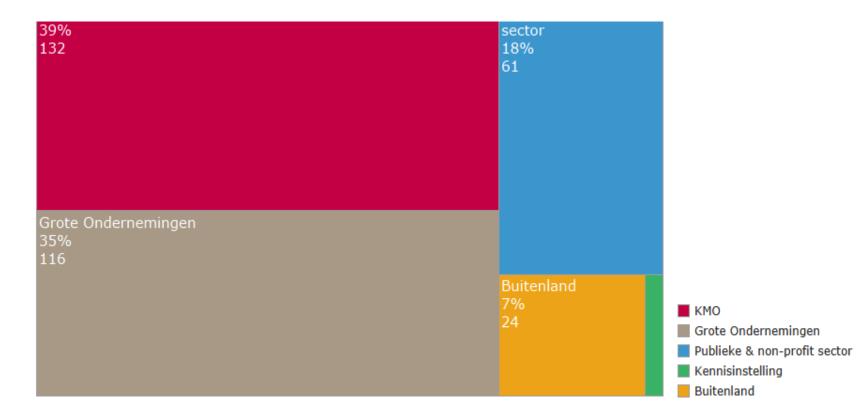
>30% RETURNING PARTNERS



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2009 – 2017

>80% OF THE PARTNERS ARE COMPANIES

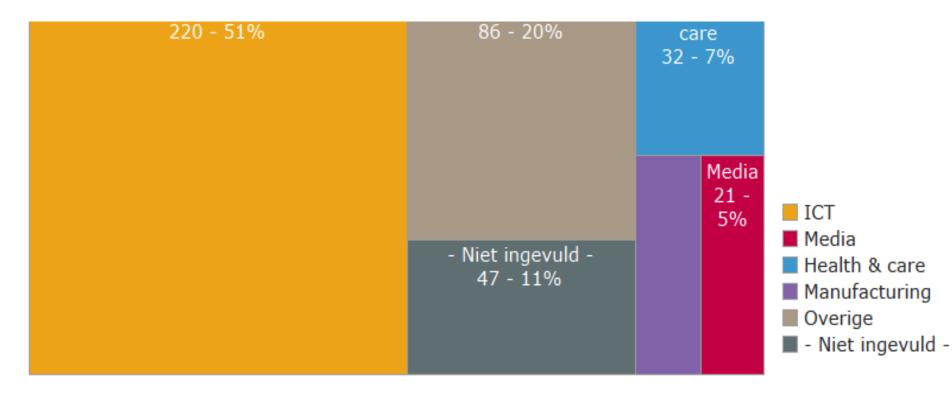


2011 - 2015

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>50% OF THE PARTNERS ARE ICT COMPANIES

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2011 - 2015

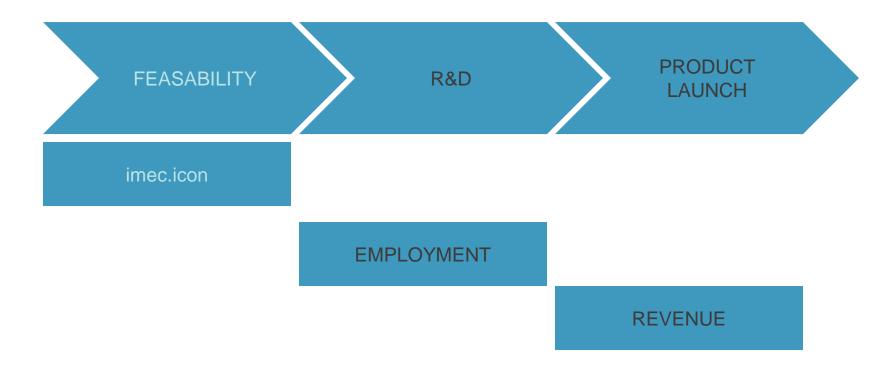
ACT LOCAL, THINK GLOBAL





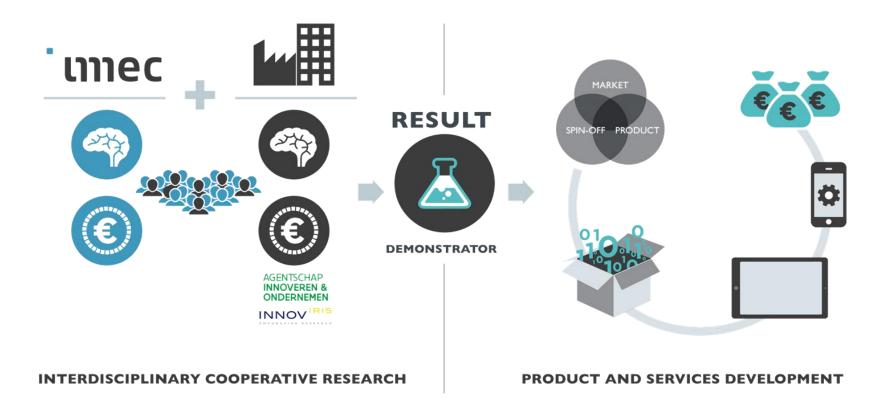
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THE POSITION OF IMEC.ICON IN THE PRODUCT LIFE CYCLE



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IMEC.ICON R&D COOPERATION WITH FLEMISH INDUSTRY & ECOSYSTEM



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IMEC.ICON PRIME ASSUMPTIONS

- ICT-related
- Demand-driven
 - Relating to a real need in industry/society
 - Involving companies, social/cultural sector, users, customers,...
- Within the scope of imec' market segments



IMEC.ICON CHARACTERISTICS

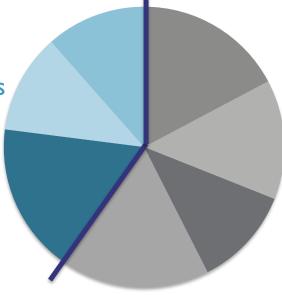
- Cooperative research with a focus and impact on valorisation for all industry partners involved
- Interdisciplinary
 - Technology, legal, social
 - Different market segments
 - No dominant partner in the consortium
- Flemish partners involved
- 2-year duration

IMEC.ICON CONSORTIUM

MANY RESEARCH OPTIONS: IMEC + UNIVERSITIES

Research groups

- Min. 2 groups
 - imec
 - Flemish universities
 - other



Advice: go for lean and mean (research <120MM)

Industry partners

- Min. 3 partners
- SMEs, large companies, governments, social profit, technology providers or services, authorities...
- Flanders (min. I)
 Belgium
 International

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ALIGNING YOUR CONSORTIUM MAKING ROOM FOR WIN-WIN

	Partner I	Partner 2	Partner 3	Partner 4
Goal I	must-have	nice-to-have	not-relevant	no-go
Goal 2	nice-to-have	must-have	must-have	not-relevant
Goal 3	not-relevant	nice-to-have	nice-to-have	nice-to-have
• • •	nice-to-have	nice-to-have	not-relevant	must-have

IMEC.ICON CONSORTIUM OPTIONAL: USER GROUP



User/Stakeholder group

- Not a formal partner
- A user group is linked to the project to:
 - provide specific input
 - act as a sparring partner
 - validate certain results
 - to provide certain data
 - to set up requirements
 - • •

IMEC.ICON PROJECT BUDGET CO-INVESTMENT BY ALL PARTNERS

Research groups

- imec & Flemish universities
 - imec dotation
- other research groups
 - own budget

Budget research groups max 50% of total project budget

Industry partners

- own budget
- External funding is allowed
- Integrated application for VLAIO/Innoviris in imec.icon application

AGENTSCHAP INNOVEREN & ONDERNEMEN

innov^{iris}.brussels

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RESULTS AT THE END OF THE ICON PROJECT

Proof-of-concept / demonstrator

Not a pilot ready for commercialization

Value for the companies has to be incorporated in the project from the beginning.

 \Rightarrow Involving the customer and user from the beginning using:

- Bl models
- Living Labs

OUTCOME OF AN IMEC.ICON

Added value

Economic

- Company results
- Qualitative employment

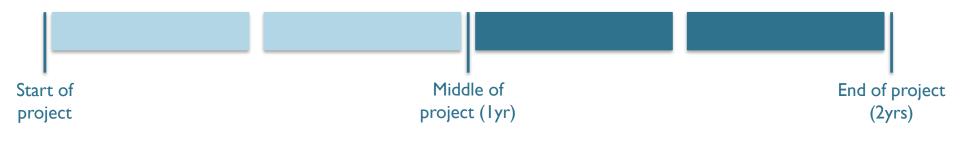
Social/cultural

- Added value to target group
- Impact in Flanders

VALORISATION

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DETAILED PLANNING STARTS DURING THE PROJECT IMPLEMENTED BY THE COMPANIES AFTER THE PROJECT



Plan valorisation details

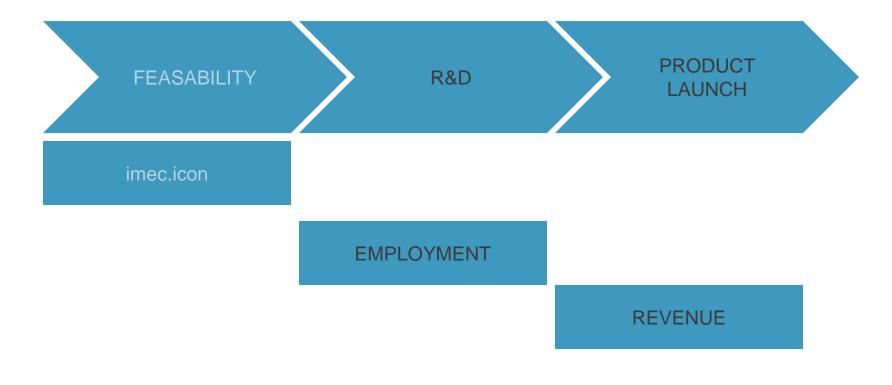
Further follow-up on supporting valorisation will be organised during the project

VALORISATION

Demonstrate credibility towards external partners and generate business impact Market Launch new spin-off company, joint-venture or K Spin-off Product external startup Launch new product-line or improve current products

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THE POSITION OF IMEC.ICON IN THE PRODUCT LIFE CYCLE



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IMEC.ICON WORKS PORTFOLIO IMPACT STUDY 2015 - AGGREGATED (30 COMPANIES)



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EXAMPLES ICON

Spille.

iMinds

iMinds

TRU-BLISS

RESULT: WEBSITE VULNERABILITY TOOL THAT AUTOMATICALLY & CONTINUOUSLY TESTS FINANCIAL INSTITUTIONS' WEBSITES AGAINST SECURITY PARAMETERS



MONICOW DEVELOPMENT OF ADVANCED AND ENERGY-EFFICIENT WIRELESS SYSTEM FOR LARGE-SCALE CATTLE LOCALIZATION & INFORMATION COLLECTION





IFEST A RICHER FESTIVAL EXPERIENCE WITH PORTABLE SENSOR TECHNOLOGY



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A Richer Festival Experience with Portable Sensor Technology

80 000

festival bracelets

80 000

smartphones

massive interference

wireless routers

CLAXON

IMPROVING THE INTERACTION BETWEEN PEOPLE AND COLLABORATIVE ROBOTS IN FACTORIES





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2-STEP APPLICATION PROCEDURE

I. Extended abstract + pitch

2. Full proposal

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TIMING



ABSTRACT PHASE: EXTENDED ABSTRACT

Content Abstract:

- Cause and context of the project
- Innovation goals
- State of the art and leap of knowledge
- Expected project outcome
- Valorization and Social/economic benefit
- Each partner/research group:
 - Brief presentation
 - Relevant expertise
 - Importance of the partner/research group
 - Strategic importance of the project

Abstract not eligible if over character limits

Template is available on <u>imec website</u>

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ABSTRACT PHASE: PITCH BEFORE PANEL

Pitch

- Very short presentation (6 minutes)
- Followed by a short Q&A
- Consortium presence
 - Max. 5 consortium members, incl. research lead and project lead

Panel

- External domain experts
- Representatives of imec

info session on Pitch 15 Sep (10.30am)

Template is available on <u>imec website</u>

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ABSTRACT PHASE: CONCEPT OF KEY PARTNER

Key partners:

- Are essential for the project
- Without those partners, it's a different project -> would need re-evaluation

• Consequences:

- The full proposal will be non-eligible if key partners withdraw between abstract and full proposal
- Other partners: are also committed to the project, but can be replaced
- New partners can join the project between the abstract and the full proposal

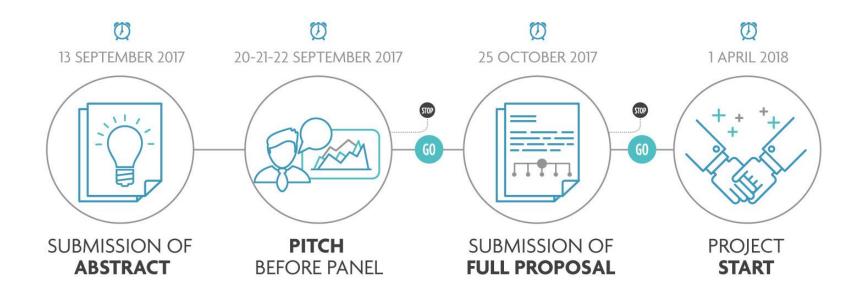
Cooperative nature of the consortium is an important evaluation criterion

ABSTRACT PHASE: EVALUATION CRITERIA ALIGNED WITH VLAIO AND INNOVIRIS R&D CRITERIA

- Clearly defined and realistic research objectives
- Social/economic valorization and added value of the project
- Cooperative nature of the consortium and market driven character of the project
- Effort and timing

Evaluation criteria available on website

TIMING



FULL PROPOSAL PHASE

High-level content Full Proposal:

- Information on the whole imec.icon project
 - Cause and context, Innovation goals, Implementation
- Partner-specific information
 - Valorization information
 - Requested funding (if applicable)

INFO SESSIONS ON FULL PROPOSAL

General info session

- Detailed session with tips & tricks
- October 3, 10-12:30, VLAIO Brussels
- (afternoon: option to book 1-on-1 session)

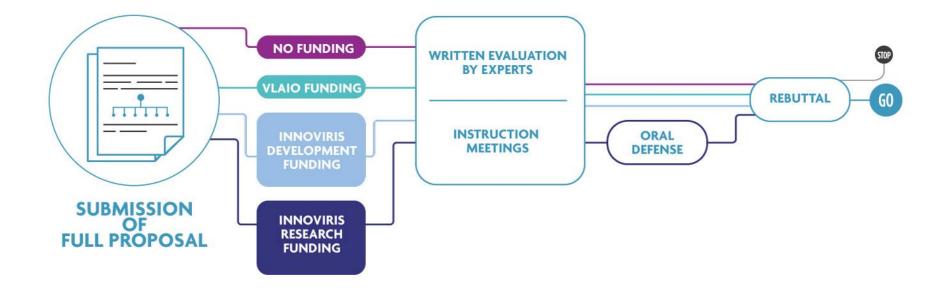
Sessions specific on valorisation (industry partners)

- Co-organised with Innovatiecentrum
- October 6, 2017, 13u30-15u, VAC Gent
- Advised for first time applicants

Invites will follow for those who go to FP phase

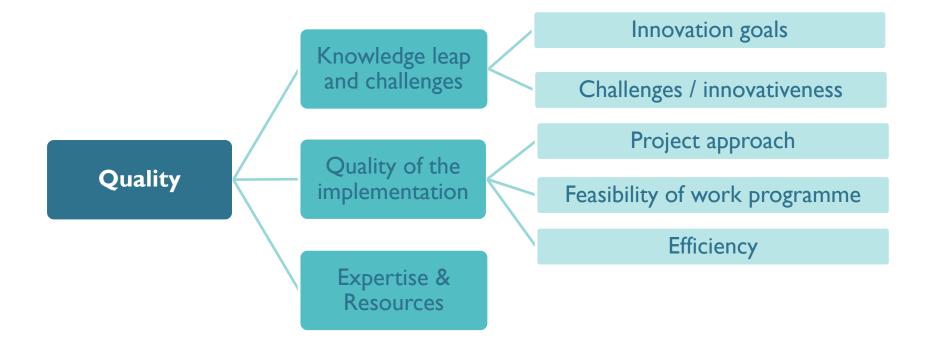
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FULL PROPOSAL PHASE: EVALUATION PROCEDURE



umec

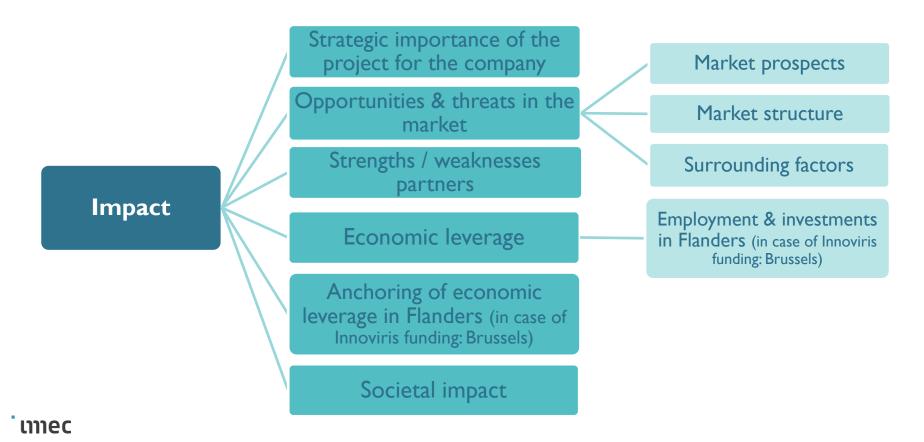
FULL PROPOSAL EVALUATION CRITERIA I. QUALITY



Evaluation criteria are aligned with VLAIO and Innoviris

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FULL PROPOSAL EVALUATION CRITERIA 2. IMPACT



POSSIBLE OUTCOMES FOR THE FULL PROPOSAL

imec.icon file = imec decision
Industry funding = VLAIO or Innoviris decision

imec.icon file	Industry funding application	Possible outcome
		Both imec.icon project and VLAIO/Innoviris funding are approved
	×	imec.icon project approved but no VLAIO/Innoviris funding
X	Not evaluated	No imec.icon project and no VLAIO/Innoviris funding granted

How to submit your application

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ABSTRACT AND FULL PROPOSAL SUBMISSION: VIA MYPROJECTS

MyProjects:

- Submitting your application
- Follow-up
- Reporting

Current call accessible from end of June

imec	MY PROJECTS	FORUM	HELP	FEEDBACK
ZZ_DEMO2 Phase: Project	DASHBOARD			
I DASHBOARD				
I SUMMARY			WORK PACKAGES	
	CORE MEMBERS			WORK PACKAGES
P PARTNERS	INNOVATION MANAGER		Work Packages	
3. members	Raf De Kimpe			
- EXPERTS TO AVOID	PROJECT LEAD, PROPOSAL MANAGER, RESEARCH LEAD			
BUDGETS	ZZ_Carl De Pinte Ozg			🖾 Alert lead
ා Schedule	VLAIO ADVISOR			Work Package 1: Name ZZ_
WORK PACKAGES				S Alert lead
P REPORTING				
CHANGE LOG	DELIVE	ERABLES A	ND MIL	LESTONES Work Package 2: Name of v
	ය් D1.1 t	test, ZZ_Carl De	Pinte Ozg,)	Aug 31, 2016

How to submit your project via MyProjects

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ABSTRACT SUBMISSION: VIA MYPROJECTS

	Directly via MyProjects	.doc/.pdf upload or	n MyProjects
Abstract content	 Project metadata Partners + MM Members Executive summary 	 Cause & Context Innovation goals SOTA Outcome Valorisation Details on partners 	• Pitch slides
Deadline	Sep 13, 12 o	Sep 19, 12 o'clock noon	

ABSTRACT SUBMISSION: EXTRA DOCUMENTS WHEN APPLICABLE

	Directly via MyProjects	.doc/.pdf upload on MyProjects		
Extra documents		 Resubmission letter If your project has been submitted before in ICON AND if you have approval from imec to resubmit Motivation letter for new research groups If it's the first time the research group participated in ICON 		
Deadline	Sep 13, 12 o'clock noon			

SUBMITTING YOUR PROPOSAL VIA MYPROJECTS

- The Proposal manager is responsible for creating and submitting the proposal
 - Already a user in MyProjects?
 ⇒Use the button in MyProjects
 - Not a user in MyProjects?
 Register via website

Start a new application

NEED ACCESS TO MYPROJECTS

 If you are not a Proposal manager, but you need access to the platform, contact your proposal manager

- How to give access as Proposal manager:
 - Add the member
 - Give him a specific role or the role 'generate user'
 - The member will receive a mail with login information

VALIDATE AND SUBMIT YOUR PROJECT

- Steps to submit your project as a proposal manager
 - I. Start your application
 - (i) don't wait until the beginning of September
 - I. Fill in all information and upload the necessary documents
 - Validate to check if all information is correct
 you can validate as many times as you want
 - 3. Submit the project using the submission button



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APPLYING FOR VLAIO OR INNOVIRIS FUNDING

- Funding application within the imec.icon procedure
 - VLAIO (Flemish region)

AGENTSCHAP INNOVEREN & ONDERNEMEN

Innoviris (Brussels region)





AGENTSCHAP INNOVEREN & ONDERNEMEN

VLAIO FUNDING (COMPANIES)

AGENTSCHAP INNOVEREN & ONDERNEMEN

- For organisations located in the Flemish region
- Subsidy: percentage of costs
- Budget contains:
 - Payroll costs: salary x (1.00 1.68)
 - Other costs: max. € 40.000/FTE (of which max 20.000 indirect costs, direct costs to be listed)
 - Possibly large subcontractors (> € 8.500)

For eligibility questions a.o., check "<u>Frequently asked questions</u>"

FUNDING PERCENTAGES (COMPANIES)

AGENTSCHAP INNOVEREN & ONDERNEMEN

Basic funding percentage

25% development project or 50% research project

Extra funding

- SME
 - Small enterprise (+20%) / ME (+10%)
 - In case of doubt: contact VLAIO
- Collaboration +10% when, considering the project part for which funding (VLAIO or Innoviris) is granted,
 - At least I SME as project partner
 - None of the partners constitutes more than 70% of the budget

Basic funding + extra funding: min. 25%, max. 80%

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RESEARCH / DEVELOPMENT

- Basic funding percentage
 - 25% development project OR 50% research project

Differentiation based on

- Step forwards w.r.t. state-of-the-art
- Important challenges and risks



AGENTSCHAP INNOVEREN & ONDERNEMEN

AGENTSCHAP IMPORTANCE INFORMATION ON VALORISATION INNOVEREN & ONDERNEMEN

- Ranking is based on strategic importance and economic impact
- Adequate information (part C) is part of eligibility of VLAIO application
- Attendance info meeting October 3rd incl. preliminary discussion with VLAIO advisor strongly recommended, especially for companies with no or little experience with VLAIO funding

VLAIO FUNDING RESTRICTION NO FUNDING FOR UNDERTAKING IN DIFFICULTY

- Criteria for all companies: Equity is less then 50% of the share capital
- For large companies, two extra criteria:
 - Dept capital to equity ratio > 7,5
 - EBITDA interest coverage ratio < 1,0</p>
- More information on the VLAIO <u>website</u>

INNOVIRIS





- Eligibility: Brussels enterprise
- R&D project
- Procedure

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WHO CAN APPLY

All Brussels enterprises who:

- develop all or some of their activities within the territory of the Brussels-Capital Region
- present an innovative project likely to have a favourable impact on employment and/or the sustainable development of the Brussels-Capital Region
- show their ability to finance their share in the project
- have fulfilled their obligations in the context of previous support initiatives granted by the Region.
- Not exclusive Brussels partners within imec.icon consortium (at least one in Flanders)



R&D PROJECT

- imec.icon external partners can apply for R&D funding
- R&D project
 - Industrial Research: Series of activities carried out with a view to acquiring new skills and innovative scientific knowledge designed to develop a product, procedure or service.
 - **Experimental Development:** Activity designed to apply the results of industrial research in the form of prototype products, procedures or services.
- Who? SMEs and Large Enterprises





Basic funding percentage

25% development project or 50% research project

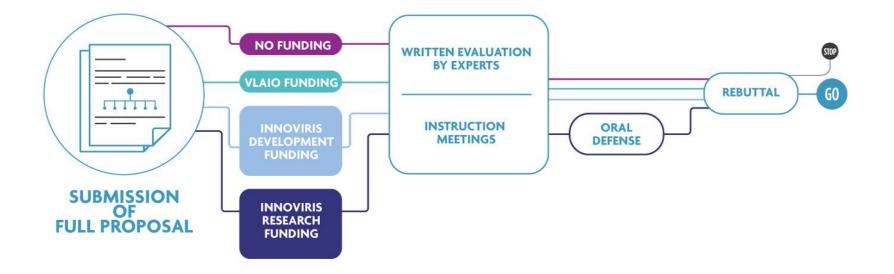
Extra funding

- SME
- SE (+20%) / ME (+10%)
- In case of doubt: contact Innoviris
- Collaboration +15% when, considering the project part for which funding (Innoviris or VLAIO) is granted,
 - At least I SME as project partner
 - None of the partners constitutes more than 70% of the budget
- Basic funding + extra funding: min. 25%, max. 80%



- Adjusted Part C of imec.icon-application for Innoviris
- Industrial Research:
 - " "Usual" Instruction meeting, with scientific advisor Innoviris
 - Oral defense for committee of experts
- Experimental Development:
 - "Usual" Instruction meeting, with scientific advisor Innoviris

FULL PROPOSAL PHASE



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- Valorisation for Brussels Capital Region both strategic importance and on economic impact
- Adequate information (part C) is part of eligibility Innoviris application
- Attendance full proposal info session October 3 incl. preliminary discussion with Innoviris advisor strongly recommended, especially for companies with no or little experience with Innoviris funding

Detailed info session with tips&tricks October 3, 2017



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START IP DISCUSSIONS UPFRONT

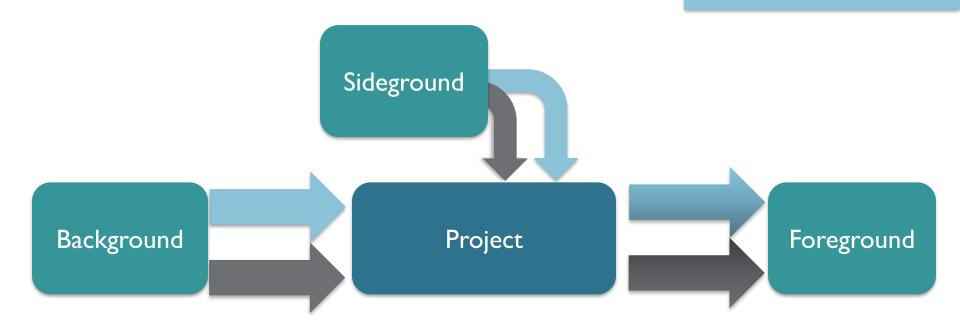
IP model in imec.icon is fixed



- Background = all pre-existing knowledge a partner contributes to the project
 - Needs to be identified, substantiated and listed in the project description
 - Necessary to perform the Project
- Foreground = all results obtained during the execution of the imec.icon Project
- Foreground is to be reported to the steering committee (i.e. Project Deliverables)

IPR IN ICON

Explained in CA and manual,



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IPR IN IMEC.ICON: OWNERSHIP – ACCESS RIGHTS

Ownership	Rule: Each partner is and remains sole owner of own BG/SG/FG	
	Co-ownership when Foreground is created by two or more parties and when it is impossible to divide each partners' contribution in the result	
Access Rights During project execution	Free of charge: Background, Sideground and Foreground	
Access Rights For valorisation	Deemed granted if needed to valorise own results	

IPR IN ICON: OWNERSHIP – ACCESS RIGHTS

Access rights for valorisation

	Same WP	Different WP
FG	Free	Favorable
BG/SG	Favorable	Market conditions



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Documents available on website

ICON NDA AND LOI

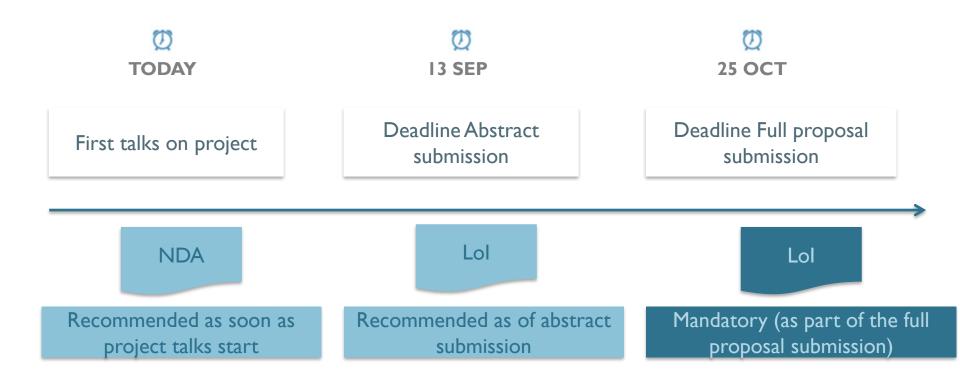
Non Disclosure Agreement (NDA)

- Recommended to use from abstract phase onwards
- Own choice consortium

Letter Of Intent (Lol)

- Mandatory at full proposal phase
- Template not be changed. May be submitted in English or in Dutch
- Content:
 - Intention of each partner to implement its own task package
 - Acceptance of the imec.icon CA

IMEC.ICON NDA AND LOI



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CONTRACTS TO BE SIGNED AFTER PROJECT APPROVAL

- Collaboration Agreement (CA, Samenwerkingsovereenkomst)
 - Binding for all parties
 - imec (incl. related unis) <> all external partners
 - Stating imec and all partners research input
 - Aspects: project description, input, operation through steering groups, ownership of results, publication rights, ...
- VLAIO or Innoviris Funding Agreement (FA, Steunovereenkomst)
 - VLAIO or Innoviris R&D funding approval
 - VLAIO or Innoviris <> funded external partner(s)
 - Co-signed by imec
- User group Agreement (if applicable)

Next steps

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EARLY-CONSORTIUM CONTACT MOMENTS

- Who?
 - Consortium with an imec Program manager
- What?
 - Sparring with consortium (idea, approach,...)
 - Is imec.icon the right instrument?
 - Consortium partners (expertise, find other partners,...)
- How?
 - Physical meeting
 - Optional, upon request by consortium
 - Fix a date via <u>this form</u>

THINGS TO DECIDE

- Project idea
- Consortium
 - Industry
 - Research
 - User group (if needed)
- Proposal manager
 - MyProjects
 - MyMinds

SET UP A MYMINDS COLLABORATION SPACE

MyMinds:

- Collaborating tool
- Document library
- Process follow-up
- Everything ready when project gets approved

How?

- Optional, upon request by consortium
- Send mail to icon@imec.be

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MARK YOUR CALENDAR

- Abstract submission
 - Pitch info session
- Pitch slides submission
 - Pitch before panel
- Full proposal info session
 Full proposal valorisation sessions
 - Full proposal submission
 - Start project





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CONTACTS FOR ICON

- ICON Program Leader
 - Piet Verhoeve
- Questions regarding the **call**
 - Sanne Willems
 - Ruben Pover
- Questions regarding **content**
 - Eric Van der Hulst
 - Steven Van Assche
 - Dirk Hamelinck
 - imec researcher











- Questions regarding MyProjects or IPR
 - icon@imec.be

CONTACTS FOR ICON

- Questions regarding VLAIO funding
- Veerle Desmet

- Questions regarding Innoviris funding
- <u>Stijn Maas</u>









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