

IMEC.ICON INFORMATION SESSION

IMEC.ICON CALL 2021-I

## **WEBINAR**

- You are all put on mute
- If you have a question:
  - Type your question in the chat
  - Raise your virtual hand and we will unmute you





## **AGENDA**

- Introduction to imec
- imec.icon program, project modalities and IPR model
- Example projects
- Application procedure
- VLAIO and Innoviris funding
- Next steps
- Extra: tips and tricks



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imec is the world-leading R&D and innovation hub in nanoelectronics and digital technology.



### IMEC RESEARCH DOMAINS



**SMART CITIES** 



**SMART** MOBILITY



**SMART INDUSTRIES** 



**SMART INFOTAINMENT** 



**SMART HEALTH** 



**SMART ENERGY** 

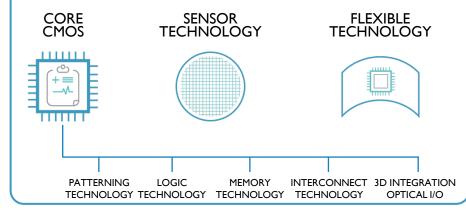


**SMART EDUCATION** 



**SMART AGROFOOD** 

### SEMICONDUCTOR & SYSTEM TECHNOLOGIES



### DIGITAL TECHNOLOGY PLATFORMS

**NETWORKING** 

DIGITAL PRIVACY & SECURITY

ARTIFICIAL INTELLIGENCE







# IMEC BUSINESS MODELS TO CREATE IMPACT







# IMEC BUSINESS MODELS TO CREATE IMPACT







# IMEC BUSINESS MODELS TO CREATE IMPACT









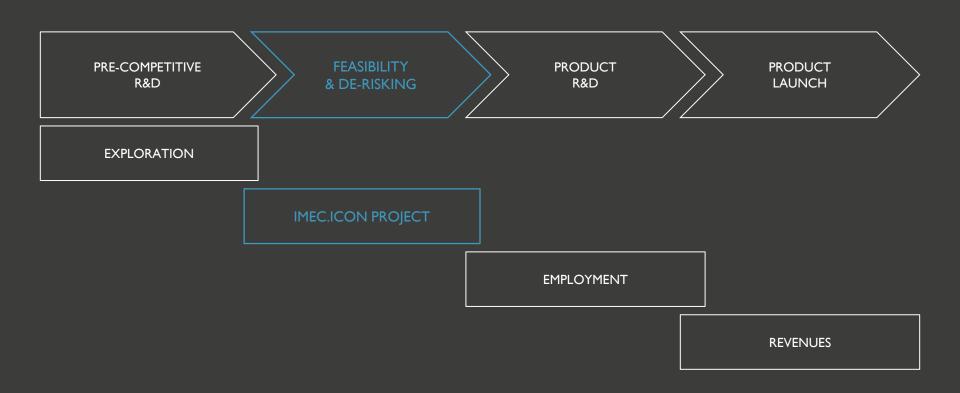
low-barrier access applied research between imec and industry

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## THE POSITION OF IMEC.ICON IN THE R&D LIFE CYCLE





## POSITIONING AGAINST TECHNOLOGY READINESS LEVELS (TRL)

TRL I – basic principles observed

TRL 2 – technology concept formulated



TRL 3 – experimental proof of concept

TRL 4 – technology validated in lab

TRL 5 – technology validated in relevant environment

TRL 6 – technology demonstrated in relevant environment

TRL 7 – system prototype demonstration in operational environment

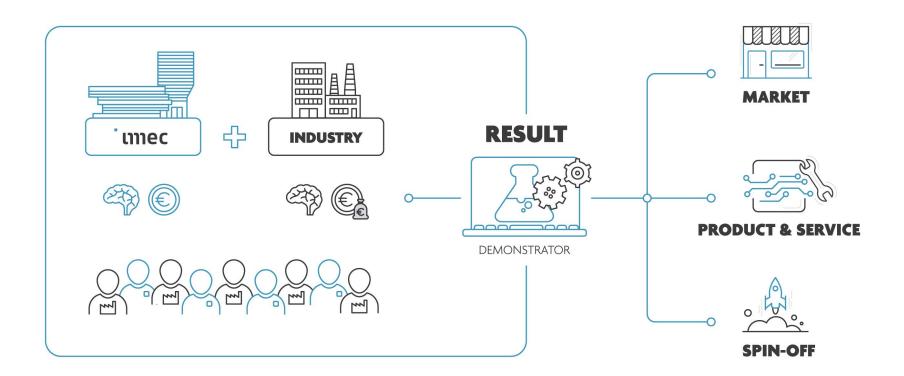
TRL 8 – system complete and qualified

TRL 9 – actual system proven in operational environment



### INTERDISCIPLINARY COOPERATIVE RESEARCH

### **VALORIZATION**





### **IMEC.ICON CHARACTERISTICS**



ICT and nanoelectronics-related digital products, services and business models



**Balanced consortium**balanced contribution of industry
and research partners



**Demand-driven** relating to a real need in industry or society



**Interdisciplinary approach** tackling technological, legal and social challenges, new business models, etc.



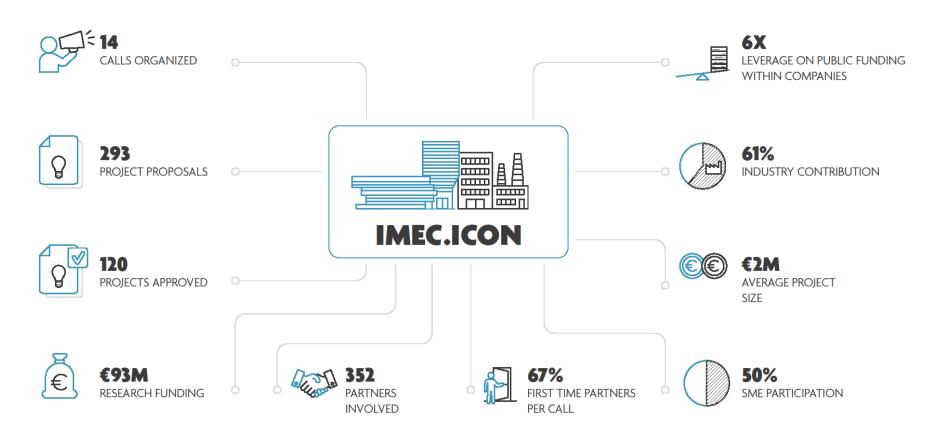
**Cooperative research** with a focus on valorization for all industry partners involved



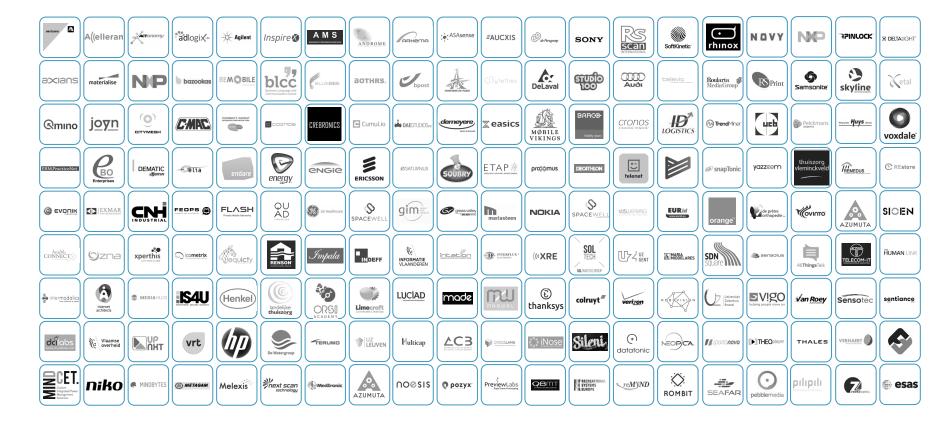
**2-year** duration



## IMEC.ICON KEY FIGURES 2012 – 2020



## 300+ IMEC.ICON PARTNERS AND COUNTING



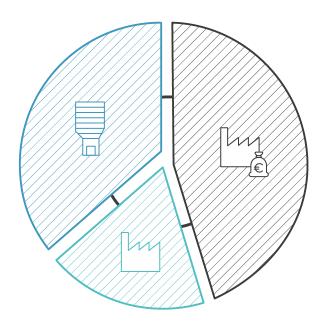


### **IMEC.ICON CONSORTIUM**

### **RESEARCH GROUPS**



- imec (min. 1)
- Flemish universities
- Other



### **INDUSTRY PARTNERS**



#### **MIN. 3 FLEMISH COMPANIES**

(+ Brussels region, Belgium, international)



### **IMEC.ICON CONSORTIUM**

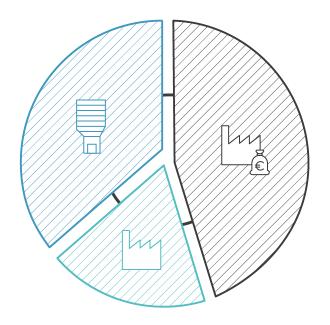
#### **RESEARCH GROUPS**



- imec (min. 1)
- Flemish universities
- Other

#### **FUNDED RESEARCH GROUPS**

- **imec dotation:** imec & Flemish research organisations
- Own budget: other research groups







#### **MIN. 3 FLEMISH COMPANIES**

(+ Brussels region, Belgium, international)

#### **FUNDED INDUSTRY PARTNERS**

- VLAIO (Flanders)
- Innoviris (Brussels region)

## INDUSTRY PARTNERS WITH OWN FUNDING

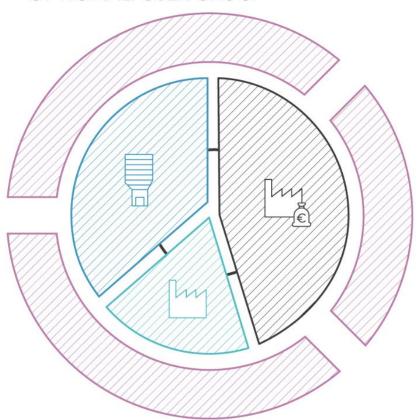
- Allowed
- Don't count for the 50/50





### **IMEC.ICON CONSORTIUM**

**OPTIONAL: USER GROUP** 



### **USER/STAKEHOLDER GROUP**

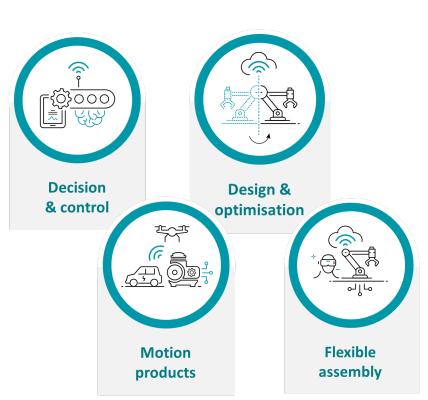
- NOT A FORMAL PARTNER
- IS LINKED TO THE PROJECT TO:
  - provide specific input
  - gather requirements
  - act as a sparring partner
  - validate project results
  - provide certain data

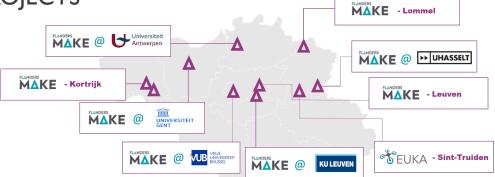
...



### **FLANDERS MAKE**

PARTNERS WITH IMEC IN IMEC.ICON PROJECTS





650+ highly specialised researchers





# SMART EDUCATION IN IMEC.ICON SMART EDUCATION EXTENSION PROJECTS

- Objectives
  - Enable evidence-based validation of effects of EdTech in schools
  - Increase adoption rate for EdTech through involvement of schools
- Schools can receive funding from imec budget
  - Funding of staff costs and operational costs
  - Specific options for Flemish schools: PWB and TADD



## RESULTS AT THE END OF THE IMEC.ICON PROJECT



# Proof-of-Concepts / demonstrators

- Minimum experimental setup needed to validate innovation goals
- Ranging from pure technical tests to real-life validation
- Not a pilot ready for commercialization



Incorporate the value of the project for your company from the start 

⇒ involve customers and end users using living labs or user groups



### **VALORIZATION**



Entering new markets or targeting new customer segments



Launching new product line or improving current products or services



Launching new spin-off company, joint-venture or external startup



## IMPACT OF AN IMEC.ICON PROJECT



- Added value of the project for your company
  - Economic: business growth, extra revenues, larger margin, ...
- Added value of the project for the funding region (Flanders/Brussels)
  - Economic: extra qualitative employment and extra investments in the region
  - Societal: added value to the target group in the region
- Types of valorization: collective, partially collective or individual
  - Every industry partner has to present ambitious valorization plans
  - The impact needs to be quantified and needs to be sufficiently large



## INTELLECTUAL PROPERTY RIGHTS (IPR)

- IPR model in imec.icon is fixed
- Starting IPR discussions upfront is strongly advised



### IMEC.ICON IPR MODEL CONCEPTS



### **Background**

All pre-existing knowledge a partner contributes to the project

- Necessary to perform the project
- Needs to be identified, substantiated and listed in the project description and consortium agreement

### **Foreground**

All results obtained during the execution of the project

- Foreground is to be reported to the steering committee (i.e., project deliverables)
- Types of IPR protection: patent, copy right, trademark, trade secret



### OWNERSHIP AND ACCESS RIGHTS

### **Ownership**

Each partner is and remains sole owner of own Background and Foreground

Exception: co-ownership when Foreground is created by two or more parties and when it is impossible to divide and unambiguously attribute parts of the results to each partner

### **Access Rights**

### During project execution

License to Background and Foreground free of charge

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### For valorization after project

License to Background and Foreground deemed granted if needed to valorize own project results

Remark: exclusive access to Foreground is not possible in imec.icon



## **ACCESS RIGHTS FOR VALORIZATION**

## BASED ON WORK PACKAGE (WP) STRUCTURE

	Same Work Package	Different Work Package
Foreground	Royalty-free (extra conditions: see below)	Favorable
Background	Market conditions	Market conditions

### **Conditions**

Access is required for exploitation of industry partner's own Foreground

Balanced collaboration between industry partners and research groups

For royalty-free access

Intensive collaboration in WP between industry partner and research group on Foreground topic



# IMEC.ICON IMPACT ON FLEMISH COMPANIES PORTFOLIO IMPACT STUDIES 2015 AND 2017 – NON-ECONOMIC EFFECTS

# Reported effects at project's closing

Effective collaboration

Enhanced knowledge and competence

Tapping into new knowledge domains

Development of demonstrator

Increased scale of research activities

# Reported effects at moment of survey

Adaptation and fine-tuning of product roadmap

Expansion to new markets

Growth in existing markets

Increased turnover

Accelerated product or process development

# Expected effects for next two years



New product development

Launch of new products

Increased employment

Stronger reputation and branding



### **BUSINESS REASONS TO CONSIDER IMEC.ICON**



imec's technologies and expertise can provide companies with **significant product** or **service differentiators** 



imec's **large network of researchers**is well-connected to the Flemish innovation
ecosystem and helps companies find possible partners



imec.icon stimulates **collaborations** between the different actors in the innovation ecosystem



imec.icon projects deliver **tangible results** and support an **iterative approach** 



imec.icon helps companies deal with **co-innovation** and **adoption-chain risks** 



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imec.icon is a **low barrier** program to work with imec in applied research



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## PROJECTS IMEC.ICON CALL 2020-1











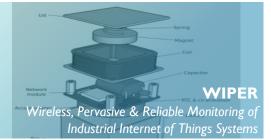












# SMART INDUSTRIES PROJECTS





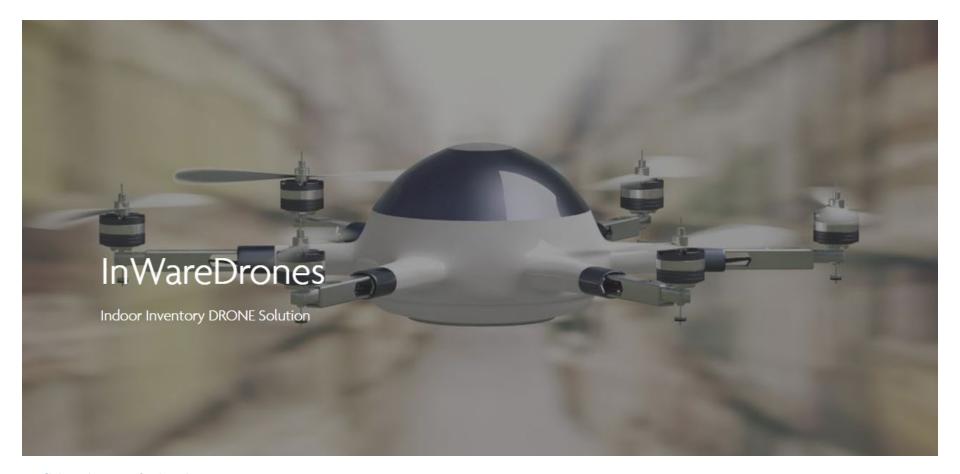










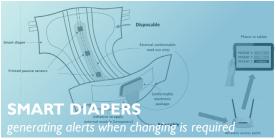


Click on the image for the video

# **SMART HEALTH PROJECTS**





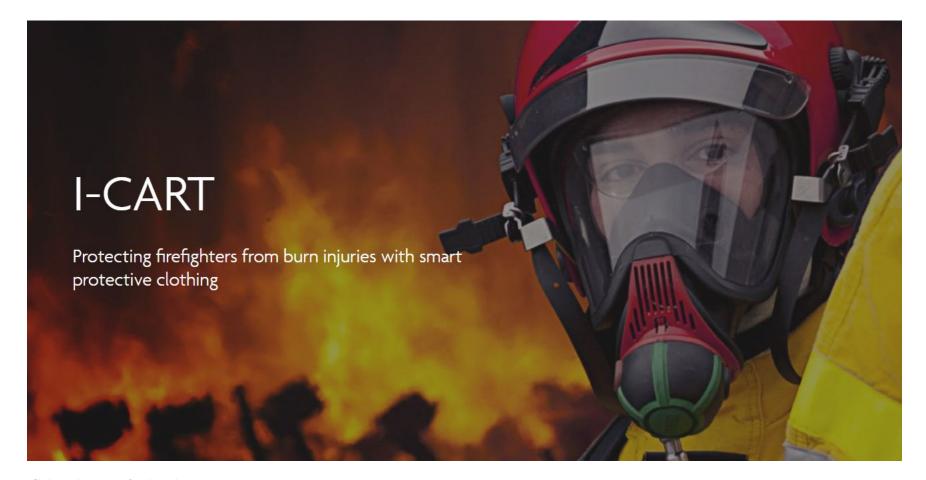












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# SMART EDUCATION PROJECTS





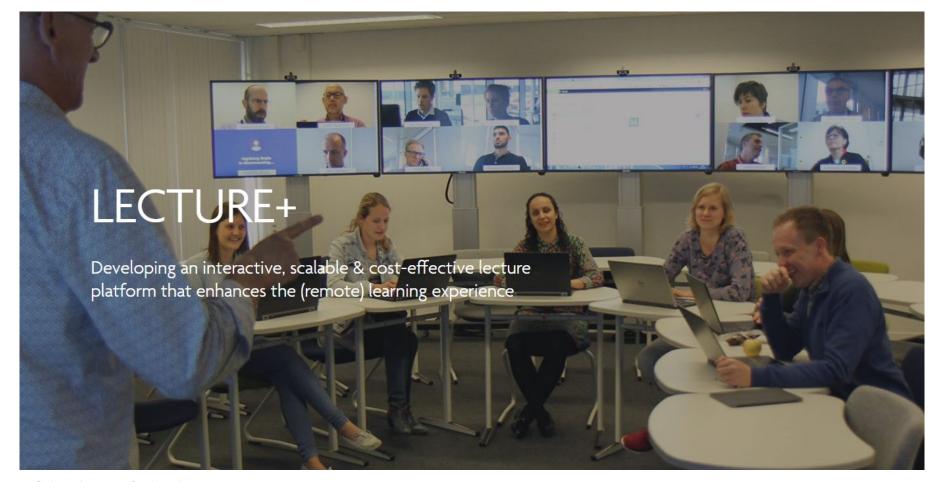












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# **SMART INFOTAINMENT PROJECTS**















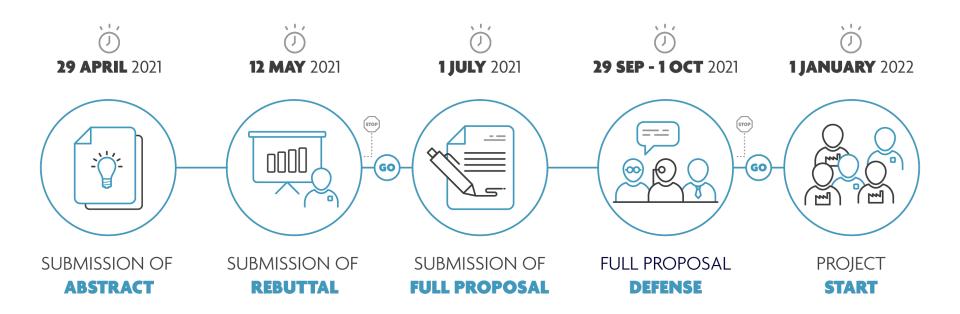
Q&A

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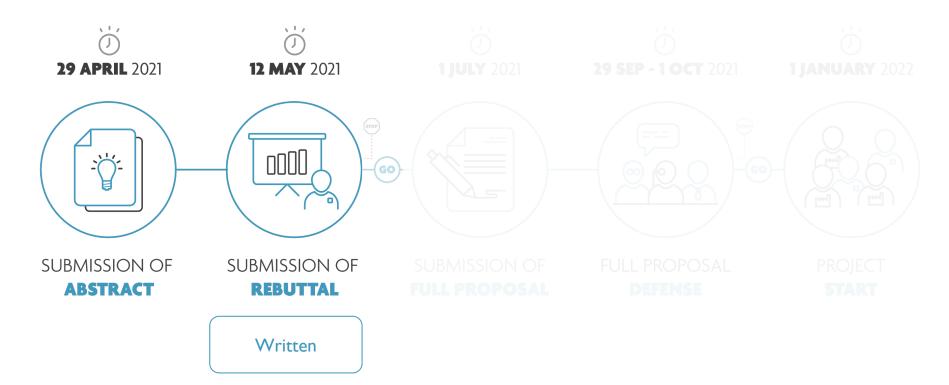
# IMEC.ICON EVALUATION PROCESS CALL 2021-1





# **IMEC.ICON EVALUATION PROCESS CALL 2020-2**

#### **ABSTRACT PHASE**





#### ABSTRACT PHASE: EXTENDED ABSTRACT



# **Content Abstract:**

- Cause and context of the project
- Innovation goals and outcome
- State of the art and leap of knowledge
- Valorization and Social/economic benefit
- Information on the partners



Templates available on imec website



## ABSTRACT PHASE: EVALUATION PROCEDURE



- Submit your abstract
- Receive feedback and questions from experts
- Written rebuttal on the feedback and questions from experts



# IMEC.ICON JURY



- One jury for all abstracts
- External domain experts
- Focus on general quality, valorization & fit



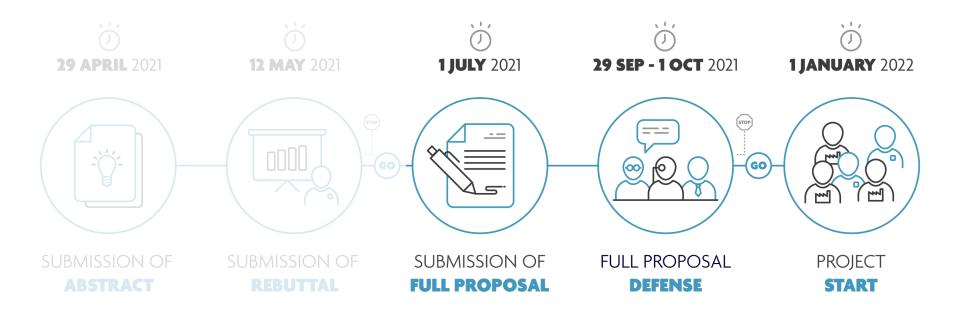
# ABSTRACT PHASE: EVALUATION CRITERIA ALIGNED WITH VLAIO AND INNOVIRIS R&D CRITERIA

- Clearly defined and realistic research objectives
- Good and quantified social/economic valorization perspectives and high added value of the project
- Strong cooperative nature of the consortium and market-driven character of the project
- Well-balanced and sufficient effort contribution and realistic timing



# IMEC.ICON EVALUATION PROCESS CALL 2021-1

#### FULL PROPOSAL PHASE



There will be a specific info session on the full proposal evaluation



#### FULL PROPOSAL PHASE

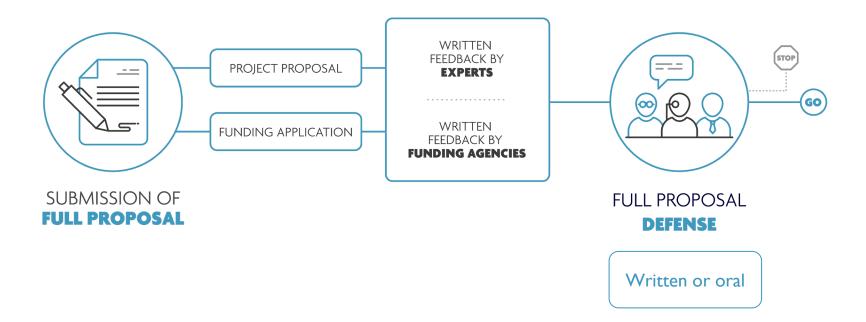


# High-level content Full Proposal:

- Information on the whole imec.icon project
  - Cause and context, Innovation goals, Implementation
- Partner-specific information
  - Valorization information
  - Requested funding (if applicable)



# FULL PROPOSAL PHASE: EVALUATION PROCEDURE



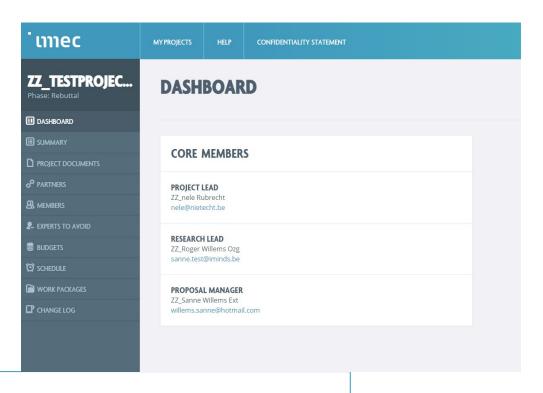


# HOW TO SUBMIT YOUR APPLICATION

# ABSTRACT AND FULL PROPOSAL SUBMISSION: VIA MYPROJECTS

## MyProjects:

- Submitting your application
- Follow-up
- Reporting





Tutorials on how to submit



# SUBMITTING YOUR PROPOSAL VIA MYPROJECTS

The Proposal manager is responsible for creating and submitting the proposal

Already a user in MyProjects?⇒Use the button in MyProjects

Start a new application

- Not a user in MyProjects?
  - Register via website

Start your new abstract as from I March no test project necessary

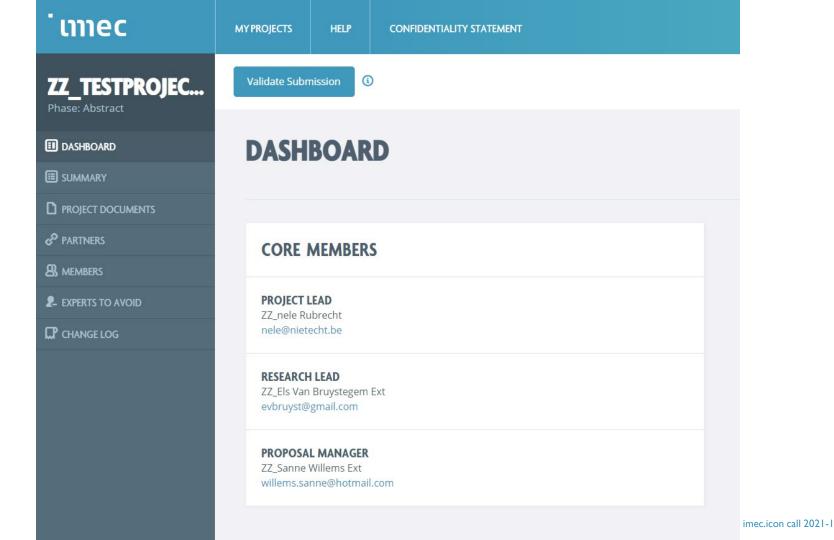


# NEED ACCESS TO MYPROJECTS

 If you are not a Proposal manager, but you need access to the platform, contact your proposal manager

- How to give access as Proposal manager:
  - Add the member
  - Give him a specific role or the role 'generate user'
  - The member will receive a mail with login information





unec

# ABSTRACT SUBMISSION: VIA MYPROJECTS

TO DO'S

	Add directly	Upload	
Content	<ul> <li>Project metadata</li> <li>Partners + MM</li> <li>Members + roles</li> <li>Experts to avoid</li> </ul>	<ul> <li>Abstract doc (doc/PDF)</li> <li>Resubmission letter</li> <li>Motivation letter</li> </ul>	• Pitch slides (ppt)
	Deadline: 29 April		I day before pitches

!! Check VAT number of partners !!



# ABSTRACT SUBMISSION: VIA MYPROJECTS

#### ABSTRACT DOCUMENT

- Cause & Context
- Innovation goals and outcome
- SOTA and leap of knowledge
- Valorization
- Details on partners



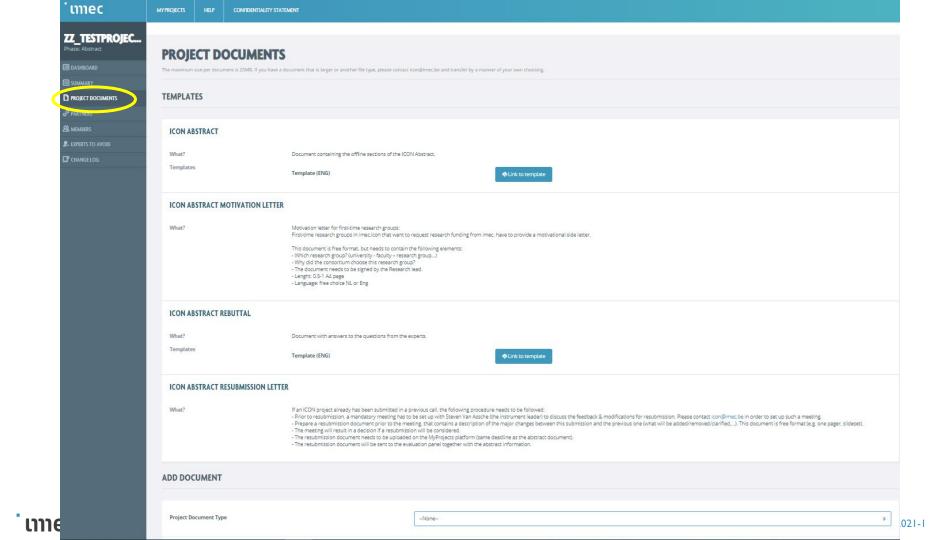
Abstract not eligible if over character limits

# ABSTRACT SUBMISSION: EXTRA DOCUMENTS EXTRA DOCUMENTS

# *If necessary:*

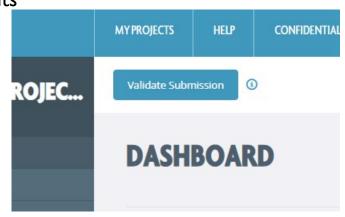
- Resubmission letter
  - If your project has been submitted before in ICON AND if you have approval from imec to resubmit
- Motivation letter for new research groups
  - If it's the first time the research group participated in ICON





# VALIDATE AND SUBMIT YOUR PROJECT

- Steps to submit your project as a proposal manager
  - I. Start your application
    - ① don't wait until mid April
  - 2. Fill in all information and upload the necessary documents
  - Validate to check if all information is correct
    - i) you can validate as many times as you want
  - 4. Submit the project using the submission button







#### ICON NDA AND LOI

- Non Disclosure Agreement (NDA)
  - Recommended to use from abstract phase onwards
  - Own choice consortium
- Letter Of Intent (Lol) or Letter of Declaration (LoD)
  - Mandatory at full proposal phase
  - LOD for VLAIO application/LOI for all other partners
  - Template cannot be changed. May be submitted in English or in Dutch
  - Content:
    - Intention of each partner to implement its own task package
    - Acceptance of the imec.icon Consortium Agreement
    - Termsheet with IPR framework



# IMEC.ICON NDA AND LOI/LOD



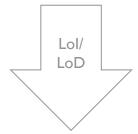
Today
First talks on the project



Recommended as soon as project talks start



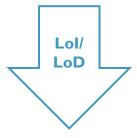
April
Abstract submission



Recommended as of abstract submission



July Full proposal submission



**Mandatory** (part of full proposal submission)



# CONTRACTS TO BE SIGNED AFTER PROJECT APPROVAL

- Collaboration Agreement (CA, Samenwerkingsovereenkomst)
  - Binding for all parties
  - imec (incl. universities) and all industry partners
  - Stating imec's and all partners' research input
  - Aspects: project description, operations through steering groups, ownership of results, publication rights, background IPR, ...
- User group Agreement (if applicable)



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#### APPLYING FOR VLAIO OR INNOVIRIS FUNDING

- Funding application within the imec.icon procedure
  - VLAIO (Flemish region)

Innoviris (Brussels region)

AGENTSCHAP
INNOVEREN & ONDERNEMEN





# AGENTSCHAP INNOVEREN & ONDERNEMEN

**FUNDING BY** 

www.vlaio.be

# **FUNDING BY VLAIO: ELIGIBILITY**

- For organizations (companies or non-profit) with activities in Flanders
- For innovation topics in all domains, restrictions for military and dual use applications: <a href="https://www.vlaio.be/nl/subsidies-financiering/onderzoeksproject/voorwaarden/projecten-met-militair-tweeerlei-gebruik-dual">https://www.vlaio.be/nl/subsidies-financiering/onderzoeksproject/voorwaarden/projecten-met-militair-tweeerlei-gebruik-dual</a>
- Since October 2017: no funding for 'companies in difficulties' (European definition):
  - Criteria for all companies: equity is less than 50% of the share capital
  - For large companies, two extra criteria:
    - Debt to equity ratio > 7,5
    - EBITDA interest coverage ratio < 1,0</li>
  - More information on <a href="http://www.vlaio.be/onderneming-in-moeilijkheden">http://www.vlaio.be/onderneming-in-moeilijkheden</a>

## **ELIGIBILITY - FUNDING BY VLAIO**

- When OIM now, to prove a successful OIM exit when submitting full proposal:
  - 2 supporting documents are required to show that the OIM ratio (s) is / are ok:
  - a notarial deed of the capital operation (if a capital operation was required to realize the OIM exit), and
  - recent interim financial statements (always necessary in case of a capital operation for OIM exit, these states must date from after the capital operation).

These interim financial statements must be certified "true and genuine" by an external accountant. The following standard formulation should be used:

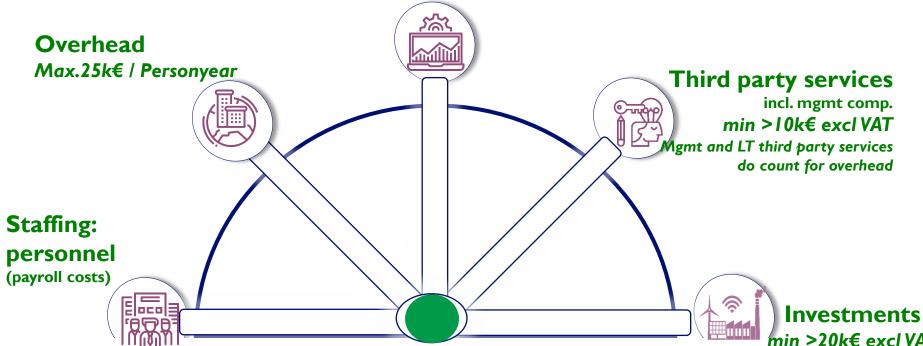
"Ik verklaar op eer dat het (tussentijds) resultaat over de periode van xx.xx.xxxx tot yy.yy.yyyy en een eventuele kapitaaloperatie ten belope van xxx EUR op een correcte manier werden voorgesteld in het eigen vermogen van de (tussentijdse) financiële staten per yy.yy.yyyy."

#### **BUDGET: COST MODEL**

# **Operating costs**

Budget contains:

Max. 25k€ / Personyear, (or more when motivated)



Funding = percentage of budget

min >20k€ excl VAT

(according to time used in

project)

# AGENTSCHAP INNOVEREN & ONDERNEMEN

## **FUNDING BY VLAIO: PERCENTAGES**

- Basic funding percentage
  - 50% for research activities; 25% for development activities
  - Differentiation based on important challenges and risks
  - Considering activities per partner or per work package
- Extra funding
  - SME
    - Upto +20% (SE) and upto +10% (ME)
  - No longer +10% for collaboration within an ICON-context
- Basic funding + extra funding:
  - min. 25%
  - Overall max. 60% for a research project and max. 50% (45%) for a development project



#### **FUNDING BY VLAIO: CRITERIA**

- Innovation goals: innovative character, challenges,...
- Potential to reach the innovation goals: feasibility manpower, expertise,...
- Impact: added value of the project and economic valorisation. Importance of business case: growth of company. Not only in sales, # customers, markets, but also impact on personnel, investments, ...). Societal impact might be considered as well.
- Potential to reach impact: market access, go-to-market strategy,...
- Additionality: why is funding needed?

#### VALORIZATION INFO SESSION

#### ONLINE SESSION ON 26/03 11AM – 12.30PM

- Presented by Marc Tiri (VLAIO front-office)
- Concrete advice on developing your valorization plans and business cases for your VLAIO funding application
- Recommended for all companies and highly recommended for first-time submitters!
- Register via this link









#### CONTRACT ONDERNEMERSCHAP

#### AGORIA – SIRRIS – IMEC: ORGANISATIE ADVIESVERLENING

Met de ondersteuning van VLAIO en in samenwerking met AGORIA / Sirris begeleidt imec ondernemingen met geen tot weinig ervaring op het gebied van AI & Smart Products.

De adviesverlening heeft tot **doel** om ondernemingen op weg te helpen in een succesvol innovatietraject:

- Het exploreren van opportuniteiten om een technologische component aan uw aanbod toe te voegen of binnen uw bedrijfsprocessen te integreren;
- Het 360° uitdiepen van uw innovatie-idee en van de vereisten voor het creëren van een proof of concept
- Het aanbieden van een roadmap voor uw innovatietraject met doorverwijzing naar mogelijke partners binnen het Vlaionetwerk

Concreet kan dat in kader van een imec.icon voorbereiding volgende betekenen:

- Het 360° in kaart brengen van de geformuleerde doelstellingen van de icon-proposal volgens de 8 innovatiecriteria (zie volgende slide);
- Inzoomen op het icon-ecosysteem en uw aandeel (ecosysteemfocus);
- Het scherpstellen van uw toegevoegde waarde (value capture) binnen dit ecosysteem en diens economische valorisatiepotentieel



imedicon call 2021-1



### FUNDING BY INNOVIRIS: ELIGIBILITY



#### Companies which:

- Develop all or some of their activities within the Brussels-Capital Region
- Are not in difficulty, in accordance with the European legislation
- Are proposing a R&D project presenting the development, completion or implementation of an innovative product, process or service
- Can show their ability to finance their share in the project
- Have fulfilled their obligations in the context of previous support initiatives granted by the Region.
- SMEs and Large Enterprises



### FUNDING BY INNOVIRIS: PERCENTAGES



- Basic funding percentage of Budget
  - 50% research project
  - 25% development project
- Extra funding
  - SME

SE (+20%) / ME (+10%) (in case of doubt: contact Innoviris)

- Collaboration +15% when, considering the project part for which funding is granted,
  - Contains at least 1 SME as project partner and
  - None of the partners contributes more than 70% of the budget  $\rightarrow$  in ICON-project fulfilled
- Basic funding + Extra funding: min. 25% max. 80%



### FUNDING BY INNOVIRIS: IMPORTANT EVALUATION CRITERIA



- Strategic and economic impact (Incentive effect of the financial aid, FP, BP, ...)
- Valorization: economic, environmental and societal impact for the Brussels Region
- Adequate valorization information is part of eligibility for Innoviris application
- Attendance full proposal info session incl. preliminary discussion with Innoviris adviser is strongly recommended, especially for companies with no or little experience with Innoviris funding



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#### IMPORTANT CHANGES AND ATTENTION POINTS FOR CALL 2021-1

- All Flemish research organizations can be financed by imec
  - If compliant with VLAIO's requirements for Flemish SBO research partners
  - Research leadership of the project always lies with an imec research group
  - Note: 100% SBO funding requires research beyond the state of the art
  - If you consider a non-imec and non-university research partner, contact <u>icon@imec.be</u>
- Valorization
  - Extra attention to valorization in abstract phase: need quantified valorization ambitions

100

- VLAIO requirement of minimum leverage on subsidies of 10 (for whole project)
  - Economic: qualitative employment and investments / societal: if quantified
- Highly recommended: dedicated info session on valorization on 26/03
- Privacy and ethics
  - Extra attention to awareness of privacy and ethical issues in abstract phase
- Undertaking in Difficulty (UID) / Onderneming in Moeilijkheden (OIM)
  - Eligibility check for funding performed at full proposal submission (1/07/2021)
  - Be sure to verify no industry partners are UID!



#### THINGS TO DECIDE

- Project idea
- Consortium
  - Industry partners
  - Research partners
  - User group members (if needed)
- Proposal manager
  - Start abstract in MyProjects
  - Optional: request imec Teams space via <u>icon@imec.be</u>



#### EARLY-CONSORTIUM CONTACT MOMENTS

- Who?
  - Consortium with an imec Innovation Manager
- What?
  - Sparring with consortium: idea, approach, research ambition, valorization, ...
  - Is imec.icon the right instrument?
  - Match-making with extra partners (e.g., missing expertise)
- How?
  - Online meeting
  - Optional, upon request by consortium
  - Fix a date via this form



#### **AGENDA**

- Introduction to imec
- imec.icon program, project modalities and IPR model
- Example projects
- Application procedure
- VLAIO and Innoviris funding
- Next steps
- Extra: tips and tricks



### EXTRA: TIPS AND TRICKS TOPICS

- Example sparring questions
- Tips for writing convincing research goals
- Specific attention points for AI projects and projects dealing with user data
- Attention points for ICON specific evaluation criteria
- Tips concerning valorization



### CONTACTS

#### CONTACTS FOR IMEC.ICON

icon@imec.be







WEBSITE, MYPROJECTS, IP & CONTRACTS

Laura De Sutter

Charlotte Waegebaert





#### **QUESTIONS REGARDING CONTENT**

**QUESTIONS REGARDING CALLS,** 







**Eric Moons** 



Eric Van der Hulst



Deben Lamon





#### **CONTACTS FOR ICON**

- Questions regarding VLAIO funding
- Marc Tiri





- Questions regarding Innoviris funding
- Stijn Maas





Q&A

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